



## Access Control List Overview

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Access lists filter network traffic by controlling the forwarding or blocking of packets at the interface of a device. A device examines each packet to determine whether to forward or drop that packet, based on the criteria specified in access lists.

The criteria that can be specified in an access list include the source address of the traffic, the destination address of the traffic, and the upper-layer protocol.



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**Note** Some users might successfully evade basic access lists because these lists require no authentication.

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## Information About Access Control Lists

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## Definition of an Access List

An access list is a sequential list consisting of at least one **permit** statement and possibly one or more **deny** statements. In the case of IP access lists, the statements can apply to IP addresses, upper-layer IP protocols, or other fields in IP packets. The access list is identified and referenced by a name or a number. Access list acts as a packet filter, filtering packets based on the criteria defined in the access list.

An access list may be configured, but it does not take effect until the access list is either applied to an interface, a virtual terminal line (vty), or referenced by some command that accepts an access list. Multiple commands can reference the same access list.

The following configuration example shows how to create an IP access list named `branchoffices`. The ACL is applied to `gigabitEthernet` on incoming packets. No sources other than those on the networks specified by each source address and mask pair can access this interface. The destinations for packets coming from sources on network `172.20.7.0` are unrestricted. The destination for packets coming from sources on network `172.29.2.0` must be `172.25.5.4`.

```
ip access-list extended branchoffices
 10 permit 172.20.7.0 0.0.0.3 any
 20 permit 172.29.2.0 0.0.0.255 host 172.25.5.4
!
gigabitEthernet 1/0/1
 ip access-group branchoffices in
```

## Functions of an Access Control List

There are many reasons to configure access lists; for example, to restrict contents of routing updates or to provide traffic flow control. One of the most important reasons to configure access lists is to provide security for your network, which is the focus of this module.

Use access lists to provide a basic level of security for accessing your network. If you do not configure access lists on your device, all packets passing through the device are allowed access to all parts of your network.

Access lists can allow a host to access a part of your network and prevent another host from accessing the same area. In the figure below, Host A is allowed to access the Human Resources network, but Host B is prevented from accessing the Human Resources network.

You can also use access lists to define the type of traffic that is forwarded or blocked at device interfaces. For example, you can permit e-mail traffic to be routed but at the same time block all Telnet traffic.

## Purpose of IP Access Lists

Access lists perform packet filtering to control which packets move through the network and where. Such control can help limit network traffic and restrict the access of users and devices to the network. Access lists have many uses, and therefore many commands accept a reference to an access list in their command syntax. Access lists can be used to do the following:

- Filter incoming packets on an interface.
- Filter outgoing packets on an interface.
- Restrict the contents of routing updates.
- Limit debug output based on an address or protocol.
- Control virtual terminal line access.
- Identify or classify traffic for advanced features, such as congestion avoidance, congestion management, and priority and custom queuing.
- Trigger dial-on-demand routing (DDR) calls.

## Reasons to Configure ACLs

There are many reasons to configure access lists; for example, you can use access lists to restrict contents of switching updates or to provide traffic flow control. One of the most important reasons to configure access lists is to provide a basic level of security for your network by controlling access to it. If you do not configure access lists on your device, all packets passing through the device could be allowed onto all parts of your network.

An access list can allow one host to access a part of your network and prevent another host from accessing the same area. For example, by applying an appropriate access list to interfaces of a device, Host A is allowed to access the human resources network and Host B is prevented from accessing the human resources network.

You can use access lists on a device that is positioned between two parts of your network, to control traffic entering or exiting a specific part of your internal network.

To provide some security benefits of access lists, you should at least configure access lists on border devices—devices located at the edges of your networks. Such an access list provides a basic buffer from the outside network or from a less controlled area of your own network into a more sensitive area of your network. On these border devices, you should configure access lists for each network protocol configured on the device interfaces. You can configure access lists so that inbound traffic or outbound traffic or both are filtered on an interface.

Access lists are defined on a per-protocol basis. In other words, you should define access lists for every protocol enabled on an interface if you want to control traffic flow for that protocol.

## Software Processing of an Access List

The following general steps describe how the an access list is processed when it is applied to an interface, a vty, or referenced by any command. These steps apply to an access list that has 13 or fewer access list entries.

- The software receives an IP packet and tests parts of each packet being filtered against the conditions in the access list, one condition (**permit** or **deny** statement) at a time. For example, the software tests the source and destination addresses of the packet against the source and destination addresses in a **permit** or **deny** statement.
- If a packet does not match an access list statement, the packet is then tested against the next statement in the list.
- If a packet and an access list statement match, the rest of the statements in the list are skipped and the packet is permitted or denied as specified in the matched statement. The first entry that the packet matches determines whether the software permits or denies the packet. That is, after the first match, no subsequent entries are considered.
- If no conditions match, the software drops the packet. This is because each access list ends with an unwritten, implicit **deny** statement. That is, if the packet has not been permitted by the time it was tested against each statement, it is denied.

An access list with more than 13 entries is processed using a trie-based lookup algorithm. This process will happen automatically; it does not need to be configured.

## Access List Rules

The following rules apply to access control lists (ACLs):

- Only one access list per interface, per protocol, and per direction is allowed.
- An access list must contain at least one **permit** statement or all packets are denied entry into the network.
- The order in which access list conditions or match criteria are configured is important. While deciding whether to forward or block a packet, Cisco software tests the packet against each criteria statement in the order in which these statements are created. After a match is found, no more criteria statements are checked. The same **permit** or **deny** statements specified in a different order can result in a packet being passed under one circumstance and denied in another circumstance.
- If an access list is referenced by a name, but the access list does not exist, all packets pass. An interface or command with an empty access list applied to it permits all traffic into the network.
- Standard access lists and extended access lists cannot have the same name.
- Inbound access lists process packets before packets are sent to an outbound interface. Inbound access lists that have filtering criteria that deny packet access to a network saves the overhead of a route lookup. Packets that are permitted access to a network based on the configured filtering criteria are processed for routing. For inbound access lists, when you configure a **permit** statement, packets are processed after they are received, and when you configure a **deny** statement, packets are discarded.
- Outbound access lists process packets before they leave the device. Incoming packets are routed to the outbound interface and then processed by the outbound access list. For outbound access lists, when you configure a **permit** statement, packets are sent to the output buffer, and when you configure a **deny** statement, packets are discarded.
- An access list can control traffic arriving at a device or leaving a device, but not traffic originating at a device.

## Helpful Hints for Creating IP Access Lists

The following tips will help you avoid unintended consequences and help you create more efficient access lists.

- Create the access list before applying it to an interface (or elsewhere), because if you apply a nonexistent access list to an interface and then proceed to configure the access list, the first statement is put into effect, and the implicit **deny** statement that follows could cause you immediate access problems.
- Another reason to configure an access list before applying it is because an interface with an empty access list applied to it permits all traffic.
- All access lists need at least one **permit** statement; otherwise, all packets are denied and no traffic passes.
- Because the software stops testing conditions after it encounters the first match (to either a **permit** or **deny** statement), you will reduce processing time and resources if you put the statements that packets are most likely to match at the beginning of the access list. Place more frequently occurring conditions before less frequent conditions.
- Organize your access list so that more specific references in a network or subnet appear before more general ones.
- Use the statement **permit any any** if you want to allow all other packets not already denied. Using the statement **permit any any** in effect avoids denying all other packets with the implicit deny statement at the end of an access list. Do not make your first access list entry **permit any any** because all traffic will

get through; no packets will reach the subsequent testing. In fact, once you specify **permit any any**, all traffic not already denied will get through.

- Although all access lists end with an implicit **deny** statement, we recommend use of an explicit **deny** statement (for example, **deny ip any any**). On most platforms, you can display the count of packets denied by issuing the **show access-list** command, thus finding out more information about who your access list is disallowing. Only packets denied by explicit **deny** statements are counted, which is why the explicit **deny** statement will yield more complete data for you.
- While you are creating an access list or after it is created, you might want to delete an entry.
  - You cannot delete an entry from a numbered access list; trying to do so will delete the entire access list. If you need to delete an entry, you need to delete the entire access list and start over.
  - You can delete an entry from a named access list. Use the **no permit** or **no deny** command to delete the appropriate entry.
- In order to make the purpose of individual statements more scannable and easily understood at a glance, you can write a helpful remark before or after any statement by using the **remark** command.
- If you want to deny access to a particular host or network and find out if someone from that network or host is attempting to gain access, include the **log** keyword with the corresponding **deny** statement so that the packets denied from that source are logged for you.
- This hint applies to the placement of your access list. When trying to save resources, remember that an inbound access list applies the filter conditions before the routing table lookup. An outbound access list applies the filter conditions after the routing table lookup.

## IP Packet Fields You Can Filter to Control Access

You can use an extended access list to filter on any of the following fields in an IP packet. Source address and destination address are the two most frequently specified fields on which to base an access list:

- Source address--Specifies a source address to control packets coming from certain networking devices or hosts.
- Destination address--Specifies a destination address to control packets being sent to certain networking devices or hosts.

## Source and Destination Addresses

Source and destination address fields in an IP packet are two typical fields on which to base an access list. Specify source addresses to control the packets being sent from certain networking devices or hosts. Specify destination addresses to control the packets being sent to certain networking devices or hosts.

## Wildcard Mask for Addresses in an Access List

Address filtering uses wildcard masking to indicate to the software whether to check or ignore corresponding IP address bits when comparing the address bits in an access list entry to a packet being submitted to the access list. By carefully setting wildcard masks, you can specify one or more IP addresses for permit or deny tests.

Wildcard masking for IP address bits uses the number 1 and the number 0 to specify how the software treats the corresponding IP address bits. A wildcard mask is sometimes referred to as an inverted mask because a 1 and 0 mean the opposite of what they mean in a subnet (network) mask.

- A wildcard mask bit 0 means check the corresponding bit value; they must match.
- A wildcard mask bit 1 means ignore that corresponding bit value; they need not match.

If you do not supply a wildcard mask with a source or destination address in an access list statement, the software assumes an implicit wildcard mask of 0.0.0.0, meaning all values must match.

Unlike subnet masks, which require contiguous bits indicating network and subnet to be ones, wildcard masks allow noncontiguous bits in the mask.

The table below shows examples of IP addresses and masks from an access list, along with the corresponding addresses that are considered a match.

**Table 1: Sample IP Addresses, Wildcard Masks, and Match Results**

Address	Wildcard Mask	Match Results
0.0.0.0	255.255.255.255	All addresses will match the access list conditions.
172.18.0.0/16	0.0.255.255	Network 172.18.0.0
172.18.5.2/16	0.0.0.0	Only host 172.18.5.2 matches
172.18.8.0	0.0.0.7	Only subnet 172.18.8.0/29 matches
172.18.8.8	0.0.0.7	Only subnet 172.18.8.8/29 matches
172.18.8.15	0.0.0.3	Only subnet 172.18.8.15/30 matches
10.1.2.0	0.0.254.255 (noncontiguous bits in mask)	Matches any even-numbered network in the range of 10.1.2.0 to 10.1.254.0

## Access List Sequence Numbers

The ability to apply sequence numbers to IP access list entries simplifies access list changes. Prior to the IP Access List Entry Sequence Numbering feature, there was no way to specify the position of an entry within an access list. If you wanted to insert an entry in the middle of an existing list, all of the entries after the desired position had to be removed, then the new entry was added, and then all the removed entries had to be reentered. This method was cumbersome and error prone.

This feature allows users to add sequence numbers to access list entries and resequence them. When you add a new entry, you specify the sequence number so that it is in a desired position in the access list. If necessary, entries currently in the access list can be resequenced to create room to insert the new entry.

## ACL Supported Types

The switch supports IP ACLs and Ethernet (MAC) ACLs:

- IP ACLs filter IPv4 traffic, including TCP, User Datagram Protocol (UDP), Internet Group Management Protocol (IGMP), and Internet Control Message Protocol (ICMP).

- Ethernet ACLs filter non-IP traffic.

## Supported ACLs

The switch supports the following type of ACL to filter traffic:

- Port ACLs access-control traffic entering a Layer 2 interface. You can apply port ACLs to a Layer 2 interface in each input direction to each access list type — IPv4 and MAC.

## Port ACLs

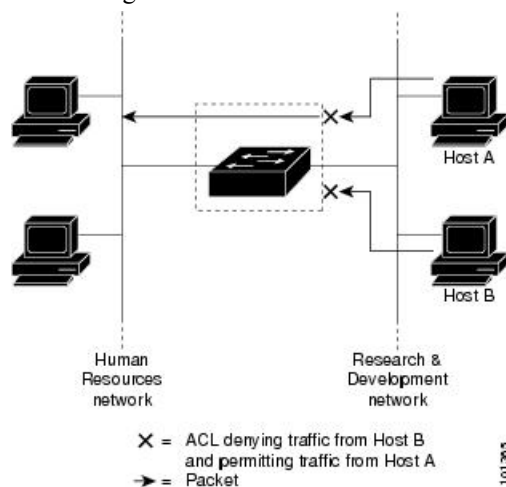
Port ACLs are ACLs that are applied to Layer 2 interfaces on a switch. Port ACLs are supported only on physical interfaces and not on EtherChannel interfaces. Port ACLs can be applied to the interface in inbound direction. The following access lists are supported:

- Standard IP access lists using source addresses
- Extended IP access lists using source and destination addresses and optional protocol type information
- MAC extended access lists using source and destination MAC addresses and optional protocol type information

The switch examines ACLs on an interface and permits or denies packet forwarding based on how the packet matches the entries in the ACL. In this way, ACLs control access to a network or to part of a network.

**Figure 1: Using ACLs to Control Traffic in a Network**

This is an example of using port ACLs to control access to a network when all workstations are in the same VLAN. ACLs applied at the Layer 2 input would allow Host A to access the Human Resources network, but prevent Host B from accessing the same network. Port ACLs can only be applied to Layer 2 interfaces in the



inbound direction.

When you apply a port ACL to a trunk port, the ACL filters traffic on all VLANs present on the trunk port. When you apply a port ACL to a port with voice VLAN, the ACL filters traffic on both data and voice VLANs.

With port ACLs, you can filter IP traffic by using IP access lists and non-IP traffic by using MAC addresses. You can filter both IP and non-IP traffic on the same Layer 2 interface by applying both an IP access list and a MAC access list to the interface.




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**Note** You cannot apply more than one IP access list and one MAC access list to a Layer 2 interface. If an IP access list or MAC access list is already configured on a Layer 2 interface and you apply a new IP access list or MAC access list to the interface, the new ACL replaces the previously configured one.

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## Access Control Entries

An ACL contains an ordered list of access control entries (ACEs). Each ACE specifies *permit* or *deny* and a set of conditions the packet must satisfy in order to match the ACE. The meaning of *permit* or *deny* depends on the context in which the ACL is used.

### ACEs and Fragmented and Unfragmented Traffic

IP packets can be fragmented as they cross the network. When this happens, only the fragment containing the beginning of the packet contains the Layer 4 information, such as TCP or UDP port numbers, ICMP type and code, and so on. All other fragments are missing this information.

Some access control entries (ACEs) do not check Layer 4 information and therefore can be applied to all packet fragments. ACEs that do test Layer 4 information cannot be applied in the standard manner to most of the fragments in a fragmented IP packet. When the fragment contains no Layer 4 information and the ACE tests some Layer 4 information, the matching rules are modified:

- Permit ACEs that check the Layer 3 information in the fragment (including protocol type, such as TCP, UDP, and so on) are considered to match the fragment regardless of what the missing Layer 4 information might have been.




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**Note** For TCP ACEs with L4 Ops, the fragmented packets will be dropped per RFC 1858.

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- Deny ACEs that check Layer 4 information never match a fragment unless the fragment contains Layer 4 information.

### Example: ACEs and Fragmented and Unfragmented Traffic

Consider access list 102, configured with these commands, applied to three fragmented packets:

```
Device(config)# access-list 102 permit tcp any host 10.1.1.1 eq smtp
Device(config)# access-list 102 deny tcp any host 10.1.1.2 eq telnet
Device(config)# access-list 102 permit tcp any host 10.1.1.2
Device(config)# access-list 102 deny tcp any any
```




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**Note** In the first and second ACEs in the examples, the **eq** keyword after the destination address means to test for the TCP-destination-port well-known numbers equaling Simple Mail Transfer Protocol (SMTP) and Telnet, respectively.

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- Packet A is a TCP packet from host 10.2.2.2., port 65000, going to host 10.1.1.1 on the SMTP port. If this packet is fragmented, the first fragment matches the first ACE (a permit) as if it were a complete packet because all Layer 4 information is present. The remaining fragments also match the first ACE, even though they do not contain the SMTP port information, because the first ACE only checks Layer 3 information when applied to fragments. The information in this example is that the packet is TCP and that the destination is 10.1.1.1.
- Packet B is from host 10.2.2.2, port 65001, going to host 10.1.1.2 on the Telnet port. If this packet is fragmented, the first fragment matches the second ACE (a deny) because all Layer 3 and Layer 4 information is present. The remaining fragments in the packet do not match the second ACE because they are missing Layer 4 information. Instead, they match the third ACE (a permit).

Because the first fragment was denied, host 10.1.1.2 cannot reassemble a complete packet, so packet B is effectively denied. However, the later fragments that are permitted will consume bandwidth on the network and resources of host 10.1.1.2 as it tries to reassemble the packet.

- Fragmented packet C is from host 10.2.2.2, port 65001, going to host 10.1.1.3, port ftp. If this packet is fragmented, the first fragment matches the fourth ACE (a deny). All other fragments also match the fourth ACE because that ACE does not check any Layer 4 information and because Layer 3 information in all fragments shows that they are being sent to host 10.1.1.3, and the earlier permit ACEs were checking different hosts.

## Additional References for Access Control Lists Overview

### Related Documents

Related Topic	Document Title
For complete syntax and usage information for the commands used in this chapter.	<i>Consolidated Platform Command Reference, Cisco IOS Release 15.2(7)Ex (Catalyst Micro Switches)</i>
ACLs	For more information, see: <ul style="list-style-type: none"> <li>• "Configuring IPv4 Access Control Lists" in the <i>Security Configuration Guide</i></li> <li>• "Configuring IPv6 Access Control Lists" in the <i>Security Configuration Guide</i></li> </ul>

