



# Cisco Expressway Certificate Creation and Use

Deployment Guide

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# Contents

Preface .....	4
Change History .....	4
Introduction .....	6
PKI Introduction .....	6
Overview of Certificate Use on the Expressway .....	6
Certificate Generation Overview .....	7
Generating a Certificate Signing Request (CSR) .....	7
Creating a CSR Using Expressway .....	8
Server Certificate Requirements for Unified Communications .....	9
Cisco Unified Communications Manager Certificates .....	9
Expressway Certificates .....	9
Authorizing a Request and Generating a Certificate Using Microsoft Certification Authority ....	11
Loading Certificates and Keys Onto Expressway .....	13
Loading a Server Certificate and Private Key Onto Expressway .....	14
Managing the Trusted CA Certificate List .....	15
Managing Certificate Revocation Lists (CRLs) .....	15
Certificate Revocation Sources .....	16
Configuring Revocation Checking for SIP TLS Connections .....	17
Appendix 1: Troubleshooting .....	19
SIP TLS Negotiation Failures on Neighbor and Traversal Zones .....	19
Certificates with Key Length of 8192 Bits .....	19
Service Failures when Using Mobile and Remote Access .....	19
Issues with SSH Failures and Unsupported OIDs .....	19
Appendix 2: Certificate Generation Using OpenSSL Only .....	20
Creating a Certificate Request Using OpenSSL .....	20
Operating as a Certificate Authority Using OpenSSL .....	22
Creating Self-Signed Certificates Using OpenSSL .....	24
Appendix 3: Converting a DER Certificate File to PEM Format .....	25
Appendix 4: Decoding Certificates .....	28
Appendix 5: Enable AD CS to Issue " Client and Server" Certificates .....	29
Cisco Legal Information .....	32
Cisco Trademark .....	32

## Preface

### Change History

**Table 1 Deployment Guide Change History**

Date	Change	Reason
September 2017	Remove 999 character SAN limitation.	Fixed in X8.10
July 2017	Description of new warning messages for server certificate upload added. Changed UI menu path. Combined VCS and Expressway versions of document.	X8.10 release
December 2016	MRA certificate requirements clarified.	X8.9 release
June 2016	Updated.	X8.8 release
November 2015	New template applied. Republished for X8.7.	
November 2015	New template applied. Republished for X8.7.	
July 2015	Updated for X8.6.	
April 2015	Update for X8.5.2. Changes to CRL information, CSR generation page defaults, 999 character limit on SANs.	
January 2015	Update for X8.5.1. Introduced an option on the user interface to select the Digest algorithm . The default is set to SHA-256 (hash algorithm).	
December 2014	Re-issued for X8.5. Notes inserted over 2050 date management, and unsupported OIDs. Changed instructions in Appendix 2 "Creating a certificate request using OpenSSL" .	
July 2014	Re-issued for X8.2. Recommended options changed for server certificate in Unified Communications deployments.	
June 2014	Republished for X8.2. Enhanced the server certificate requirements for Unified Communications deployments.	
December 2013	Initial release of Expressway version.  (Compared to previous, VCS-only version) Updated for X8.1. Removed "Certificate generation using Microsoft OCS" appendix. Various improvements and clarifications to "Certificate generation using OpenSSL only" appendix.	
February 2013	[VCS-only] Added sections on CRL management, troubleshooting, and how to configure Windows Server Manager with a "client and server" certificate template.	
August 2012	[VCS-only] Updated for Expressway X7.2 functionality to generate certificate signing requests.	
February 2012	[VCS-only] Major clarifications and updates, including OpenSSL-specific section.	
December 2011	[VCS-only] Minor updates for clarification.	

## Preface

**Table 1 Deployment Guide Change History (continued)**

Date	Change	Reason
September 2011	[VCS-only] Updated for Microsoft Lync 2010 (Lync).	
October 2010	[VCS-only] New document styles applied. New appendices added for decoding certificates and guidance on generating certificates for use with Microsoft Office Communications Server (OCS).	
November 2009	Initial release of document.	

## Introduction

This Expressway guide also now applies to VCS. Any VCS-specific information is noted where necessary in the guide. (Older VCS guides on [Cisco.com](https://www.cisco.com) are still valid for the VCS versions they apply to—as specified on the title page of each guide.)

This deployment guide provides instructions on how to create X.509 cryptographic certificates for use with the Cisco Expressway (Expressway), and how to load them into Expressway.

## PKI Introduction

Public Key Infrastructure (PKI) provides the mechanisms through which communications can be secured (encrypted and integrity protected) and identities can be verified. Underlying PKI is:

- **A public/private key pair:** a public key is used to encrypt data sent to a server, but only the private key (kept secret by the server) can be used to decrypt it.
- **Signatures of data:** data can be “signed” by a server, by using a combination of a cryptographic hash of the data and the server’s private key. A client can verify the signature by using the server’s public key and verifying the same hash. This ensures the data has been sent from the expected server, and has not been tampered with.
- **Certificates:** a certificate is a wrapper around a public key, and provides information about the owner of the key. This metadata is provided in X.509 format, and typically includes the server name and contact details for the owner.
- **A certificate chain:** a certificate can be signed by a Certificate Authority (CA) using its own private key. In turn, therefore, a certificate can be verified as being signed by a CA by checking the signature against the CA’s certificate (public key). Web browsers and other clients have a list of CA certificates that they trust, and can thus verify the certificates of individual servers.

Transport Layer Security (TLS) is the standard mechanism for securing a TCP connection between hosts on a TCP/IP network. For example, secure HTTP (HTTPS) uses TLS to encrypt and verify traffic. To establish a TLS connection:

1. An initial TCP connection is made, and the client sends its capabilities (including cipher suites) and a random number.
2. The server responds with its choice of those capabilities, another random number, and its certificate.
3. The client verifies that the server certificate was issued (signed) by a CA that it trusts, and has not been revoked.
4. The client sends a “pre-master secret”, encrypted with the server’s public key.
5. This pre-master secret, combined with the exchanged random numbers (to prevent replay attacks), is used to generate a “master secret”, with which the remaining communications of this TLS session are encrypted between the client and server.

The following sections describe how these PKI components can be used with the Expressway.

## Overview of Certificate Use on the Expressway

Expressway needs certificates for:

- Secure HTTP with TLS (HTTPS) connectivity
- TLS connectivity for SIP signaling, endpoints and neighbor zones
- Connections to other systems such as Unified CM, Cisco TMS, LDAP servers and syslog servers

It uses its list of trusted Certificate Authority (CA) certificates and associated certificate revocation lists (CRLs) to validate other devices connecting to it.

## Generating a Certificate Signing Request (CSR)

It uses the Server Certificate and the Private key to provide a signed certificate to provide evidence that the Expressway is the device it says it is. This can be used with neighboring devices such as Microsoft Lync or Unified CM, as well as administrators using the web interface.

A certificate identifies the Expressway. It contains names by which it is known and to which traffic is routed. If the Expressway is known by multiple names for these purposes, such as if it is part of a cluster, this must be represented in the X.509 subject data, according to the guidance of RFC5922. The certificate must contain the FQDN of both the Expressway itself and of the cluster. The following lists show what must be included in the X.509 subject, depending on the deployment model chosen.

If the Expressway is not clustered:

- Subject Common Name = FQDN of Expressway
- Subject Alternate Names = leave blank\*

If the Expressway is clustered, with individual certificates per Expressway:

- Subject Common Name = FQDN of cluster
- Subject Alternate Name = FQDN of Expressway peer, FQDN of cluster\*

## Certificate Generation Overview

X.509 certificates may be supplied from a third party, or may be generated by a certificate generator such as OpenSSL or a tool available in applications such as Microsoft Certification Authority. Third-party certificates supplied by recognized certificate authorities are recommended, although Expressway deployments in controlled or test environments can use internally generated certificates.

Certificate generation is usually a 3-stage process:

- Stage 1: generate a private key
- Stage 2: create a certificate request
- Stage 3: authorize and create the certificate

This document presents alternative methods of generating the root certificate, client/server certificate for the Expressway, and private key:

- [Generating a Certificate Signing Request \(CSR\), page 7](#) describes how to use the Expressway itself to generate the private key and certificate request.
- [Appendix 2: Certificate Generation Using OpenSSL Only, page 20](#) documents the OpenSSL-only process, which could be used with a third party or internally managed CA.

For mutual TLS authentication the Expressway **Server** certificate must be capable of being used as a **Client** certificate as well, thus allowing the Expressway to authenticate as a client device to a neighboring server (see [Appendix 5: Enable AD CS to Issue "Client and Server" Certificates, page 29](#)).

### Important:

- \* Some deployments rely on SANs to implement TLS connections to other Cisco or third-party infrastructure. Read the documentation for your deployment before ordering your certificate.
- Wildcard certificates manage multiple subdomains and the services names they support, they can be less secure than SAN (Subject Alternate Name) certificates. Expressway does not support wildcard certificates.
- Changes are being introduced to the way that dates are handled from 2050, and certificates that have expiry dates beyond that can cause operational issues.

## Generating a Certificate Signing Request (CSR)

A CSR contains the identity information about the owner of a private key. It can be passed to a third-party or internal certification authority for generating a signed certificate, or it can be used in conjunction with an application such as

## Generating a Certificate Signing Request (CSR)

Microsoft Certification Authority or OpenSSL.

## Creating a CSR Using Expressway

The Expressway can generate server certificate signing requests. This removes the need to use an external mechanism to generate and obtain certificate requests.

To generate a CSR:

1. Go to **Maintenance > Security > Server certificate**.
2. Click **Generate CSR** to go to the **Generate CSR** page.
3. Enter the required properties for the certificate.
  - See [Server Certificates and Clustered Systems, page 8](#) if your Expressway is part of a cluster.
  - See [Server Certificate Requirements for Unified Communications, page 9](#) if this Expressway is part of a Unified Communications solution.
  - The certificate request includes automatically the public key that will be used in the certificate, and the client and server authentication Enhanced Key Usage (EKU) extension.
4. Click **Generate CSR**. The system will produce a signing request and an associated private key.

The private key is stored securely on the Expressway and cannot be viewed or downloaded. You must never disclose your private key, not even to the certificate authority.
5. You are returned to the **Server certificate** page. From here you can:
  - **Download** the request to your local file system so that it can be sent to a certificate authority. You are prompted to save the file (the exact wording depends on your browser).
  - View the current request (click **Show (decoded)** to view it in a human-readable form, or click **Show (PEM file)** to view the file in its raw format).

### Note:

- Only one signing request can be in progress at any one time. This is because the Expressway has to keep track of the private key file associated with the current request. To discard the current request and start a new request, click **Discard CSR**.
- From version X8.5.1 the user interface provides an option to set the Digest algorithm. The default is set to SHA-256, with options to change to SHA-1, SHA-384, or SHA-512.
- From version X8.10, you cannot select SHA-1.

You must now authorize the request and generate a signed PEM certificate file. You can pass it to a third-party or internal certification authority, or use it in conjunction with an application such as Microsoft Certification Authority (see [Authorizing a Request and Generating a Certificate Using Microsoft Certification Authority, page 11](#)) or OpenSSL (see [Operating as a Certificate Authority Using OpenSSL, page 22](#)).

When the signed server certificate is received back from the certificate authority, it must be uploaded to the Expressway as described in [Loading Certificates and Keys Onto Expressway, page 13](#).

## Server Certificates and Clustered Systems

When a CSR is generated, a single request and private key combination is generated for that peer only.

If you have a cluster of Expressways, you must generate a separate signing request on each peer. Those requests must then be sent to the certificate authority and the returned server certificates uploaded to each relevant peer.

You must ensure that the correct server certificate is uploaded to the appropriate peer, otherwise the stored private key on each peer will not correspond to the uploaded certificate.



## Server Certificate Requirements for Unified Communications

### Cisco Unified Communications Manager Certificates

The two Cisco Unified Communications Manager certificates that are significant for Mobile and Remote Access are the *CallManager* certificate and the *tomcat* certificate. These are automatically installed on the Cisco Unified Communications Manager and by default they are self-signed and have the same common name (CN).

We recommend using CA-signed certificates for best end-to-end security between external endpoints and internal endpoints. However, if you do use self-signed certificates, the two certificates must have different common names. This is because the Expressway does not allow two self-signed certificates with the same CN. If the *CallManager* and *tomcat* self-signed certs have the same CN in the Expressway's trusted CA list, then it can only trust one of them. This means that either secure HTTP or secure SIP, between Expressway-C and Cisco Unified Communications Manager, will fail.

Also, when generating *tomcat* certificate signing requests for any products within the Cisco Collaboration Systems Release 10.5.2, you need to be aware of [CSCus47235](#). You need to work around this issue to ensure that the FQDNs of the nodes are in the certificates as Subject Alternative Names. The *Expressway X8.5.3 Release Notes* have the details of the workarounds.

### Expressway Certificates

The Expressway certificate signing request (CSR) tool prompts for and incorporates the relevant subject alternative name (SAN) entries as appropriate for the Unified Communications features that are supported on that Expressway.

The following table shows which CSR alternative name elements apply to which Unified Communications features:

Add these items ↓ as subject alternative names	← When generating a CSR for these purposes →			
	Mobile and Remote Access	Jabber Guest	XMPP Federation	Business to Business Calls
Unified CM registrations domains (despite their name, these have more in common with service discovery domains than with Unified CM SIP registration domains)	Required on Expressway-E only	–	–	–
XMPP federation domains	–	–	Required on Expressway-E only	–
IM and Presence chat node aliases (federated group chat)	–	–	Required	–
Unified CM phone security profile names	Required on Expressway-C only	–	–	–
(Clustered systems only) Expressway cluster name	Required on Expressway-C only	Required on Expressway-C only	Required on Expressway-C only	

**Note:**

- You may need to produce a new server certificate for the Expressway-C if chat node aliases are added or renamed. Or when IM and Presence nodes are added or renamed, or new TLS phone security profiles are added.

## Server Certificate Requirements for Unified Communications

- You must produce a new Expressway-E certificate if new chat node aliases are added to the system, or if the Unified CM or XMPP federation domains are modified.
- You must restart the Expressway for any new uploaded server certificate to take effect.

More details about the individual feature requirements per Expressway-C / Expressway-E are described below.

**Expressway-C server certificate requirements**

The Expressway-C server certificate needs to include the following elements in its list of subject alternate names:

- **Unified CM phone security profile names:** the names of the **Phone Security Profiles** in Unified CM that are configured for encrypted TLS and are used for devices requiring remote access. Use the FQDN format and separate multiple entries with commas.

Having the secure phone profiles as alternative names means that Unified CM can communicate via TLS with the Expressway-C when it is forwarding messages from devices that use those profiles.

- **IM and Presence chat node aliases (federated group chat):** the **Chat Node Aliases** (e.g. chatroom1.example.com) that are configured on the IM and Presence servers. These are required only for Unified Communications XMPP federation deployments that intend to support group chat over TLS with federated contacts.

The Expressway-C automatically includes the chat node aliases in the CSR, providing it has discovered a set of IM&P servers.

We recommend that you use DNS format for the chat node aliases when generating the CSR. You must include the same chat node aliases in the Expressway-E server certificate's alternative names.

**Figure 1 Entering subject alternative names for security profiles and chat node aliases on the Expressway-C's CSR generator**

**Expressway-E server certificate requirements**

The Expressway-E server certificate needs to include the following elements in its list of subject alternative names (SAN):

- **Unified CM registrations domains:** all of the domains which are configured on the Expressway-C for Unified CM registrations. Required for secure communications between endpoint devices and Expressway-E.

The Unified CM registration domains used in the Expressway configuration and Expressway-E certificate, are used by Mobile and Remote Access clients to lookup the `_co11ab-edge` DNS SRV record during service discovery. They enable MRA registrations on Unified CM, and are primarily for service discovery.

These service discovery domains may or may not match the SIP registration domains. It depends on the deployment, and they don't have to match. One example is a deployment that uses a .local or similar private domain with Unified CM on the internal network, and public domain names for the Expressway-E FQDN and service discovery. In this case, you need to include the public domain names in the Expressway-E certificate as SANs. There is no need to include the private domain names used on Unified CM. You only need to list the edge domain as a SAN.

Select the *DNS* format and manually specify the required FQDNs. Separate the FQDNs by commas if you need multiple domains. You may select *CollabEdgeDNS* format instead, which simply adds the prefix `co11ab-edge` to the domain that you enter. This format is recommended if you do not want to include your top level domain as a SAN (see example in following screenshot).

## Authorizing a Request and Generating a Certificate Using Microsoft Certification Authority

- **XMPP federation domains:** the domains used for point-to-point XMPP federation. These are configured on the IM&P servers and should also be configured on the Expressway-C as domains for XMPP federation.

Select the *DNS* format and manually specify the required FQDNs. Separate the FQDNs by commas if you need multiple domains. Do not use the *XMPPAddress* format as it may not be supported by your CA, and may be discontinued in future versions of the Expressway software.

- **IM and Presence chat node aliases (federated group chat):** the same set of **Chat Node Aliases** as entered on the Expressway-C's certificate. They are only required for voice and presence deployments which will support group chat over TLS with federated contacts.

Note that you can copy the list of chat node aliases from the equivalent **Generate CSR** page on the Expressway-C.

**Figure 2** Entering subject alternative names for Unified CM registration domains, XMPP federation domains, and chat node aliases, on the Expressway-E's CSR generator

Alternative name	
Subject alternative names	FQDN of Expressway cluster plus FQDN of this peer
Additional alternative names (comma separated)	
Unified CM registrations domains	example.com Format: CollabEdgeDNS
XMPP federation domains	example.com Format: DNS
IM and Presence chat node aliases (federated group chat)	chatnode1.example.com, chatnode2.example.com Format: DNS
Alternative name as it will appear	DNS:vcse.example.com DNS:vcs-e-cluster.example.com DNS:collab-edge.example.com DNS:example.com DNS:chatnode1.example.com DNS:chatnode2.example.com

See *Cisco Expressway Certificate Creation and Use Deployment Guide* on the [Expressway configuration guides page](#).

## Authorizing a Request and Generating a Certificate Using Microsoft Certification Authority

This section describes how to authorize a certificate request and generate a PEM certificate file using Microsoft Certification Authority.

**Note:** The CA component of Microsoft Active Directory Certificate Services (AD CS) must be able to issue a certificate that can be used for authentication of the Expressway as client or server.

AD CS in Windows Server 2008 Standard R2 (and later) can issue these types of certificates, if you create a certificate template for them. **Earlier versions of Windows Server Standard Edition are not suitable.**

1. Copy the certificate request file (for example, **certcsr.der** if generated via OpenSSL) to a location, such as the desktop, on the server where the Microsoft Certification Authority application is installed.

## Authorizing a Request and Generating a Certificate Using Microsoft Certification Authority

## 2. Submit the certificate request from a command prompt:

- To generate a certificate with Server Authentication and Client Authentication, which is required if you want to configure a neighbor or traversal zone with mutual authentication (**TLS verify mode**), type:

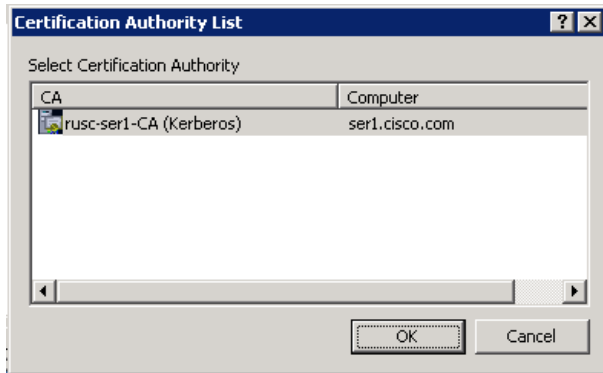
```
certreq -submit -attrib "CertificateTemplate:Webclientandserver"  
C:\Users\<user>\Desktop\certcsr.der
```

See [Appendix 5: Enable AD CS to Issue "Client and Server" Certificates, page 29](#) for details about how to set up the `webclientandserver` certificate template.

- To generate a certificate with Server Authentication only, type:

```
certreq -submit -attrib "CertificateTemplate:WebServer" C:\Users\<user>\Desktop\certcsr.der
```

This triggers the Certification Authority window to open:

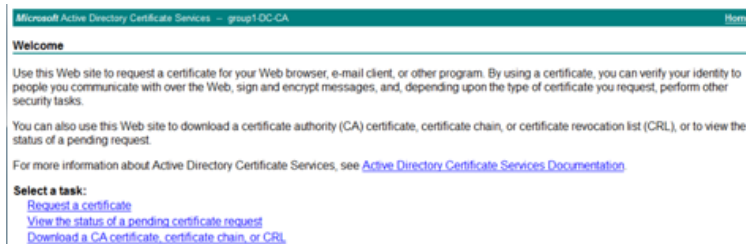


Note that the command must be run as the administrator user.

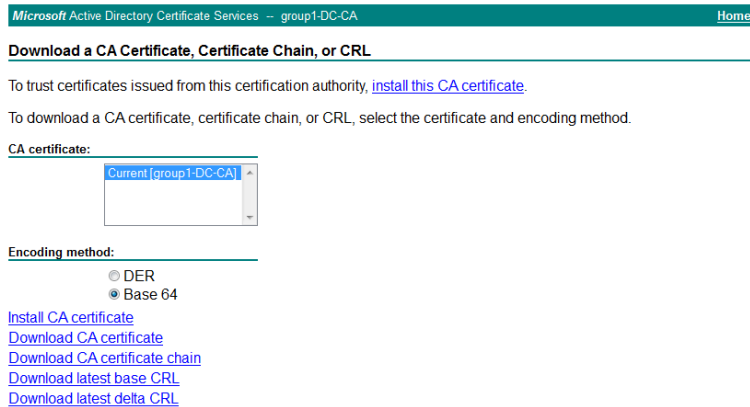
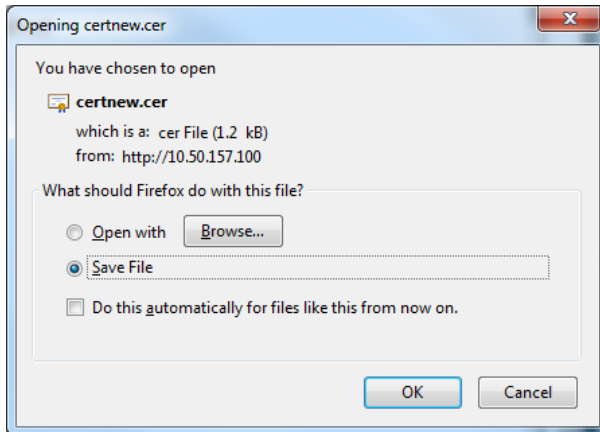
3. Select the **Certification Authority** to use (typically only one is offered) and click **OK**.
4. When requested, save the certificate (browse to the required folder if the default **Libraries > Documents** folder is not to be used) calling it **server.cer** for example.
5. Rename **server.cer** to **server.pem** for use with the Expressway.

## Get the Microsoft CA certificate

1. In your web browser, go to <IP or URL of the Microsoft Certificate Server>/certsrv and log in.



## Loading Certificates and Keys Onto Expressway

2. Select **Download a CA certificate, certificate chain or CRL.**3. Select **Base 64.**4. Select **Download CA certificate.**5. Choose **Save File** and click **OK**.6. Rename **certnew.cer** to **certnew.pem**.

Files **server.pem** and **certnew.pem** are now available.

Go to the [Loading Certificates and Keys Onto Expressway, page 13](#) section in this document and upload **server.pem** and **certnew.pem** to Expressway.

## Loading Certificates and Keys Onto Expressway

The Expressway uses standard X.509 certificates. The certificate information must be supplied to the Expressway in PEM format. Typically 3 elements are loaded:

- The server certificate (which is generated by the certificate authority, identifying the ID of the certificate holder, and should be able to act as both a client and server certificate).
- The private key (used to sign data sent to the client, and decrypt data sent from the client, encrypted with the public key in the server certificate). This must only be kept on the Expressway and backed up in a safe place - security of the TLS communications relies upon this being kept secret.
- A list of certificates of trusted certificate authorities.

## Loading Certificates and Keys Onto Expressway

**Note:** New installations of Expressway software (from X8.1 onwards) ship with a temporary trusted CA, and a server certificate issued by that temporary CA. We strongly recommend that you replace the server certificate with one generated by a trusted certificate authority, and that you install CA certificates for the authorities that you trust.

### Warning messages that may be displayed

From X8.10, the upload mechanism for server certificates (**Maintenance > Security certificate > Server certificate**) displays a warning if the certificate fails to meet certain criteria. Cases when the warning is displayed include:

- Certificate does not have an acceptable level of security.
- Certificate is missing a common name (CN) attribute. An alarm is also raised in this case. Because some Expressway services don't work without the common name (MRA, Jabber Guest, and the Web Proxy for Cisco Meeting Server).
- The certification authority (CA) or certificate revocation list (CRL) is not recognized.

The certificate upload is not prevented.

## Loading a Server Certificate and Private Key Onto Expressway

The Expressway's server certificate is used to identify the Expressway when it communicates with client systems using TLS encryption, and with web browsers over HTTPS.

To upload a server certificate:

1. Go to **Maintenance > Security > Server certificate**.
2. Use the **Browse** button in the **Upload new certificate** section to select and upload the **server certificate** PEM file.
3. If you used an external system to generate the Certificate Signing Request (CSR) you must also upload the **server private key** PEM file that was used to encrypt the server certificate. (The private key file will have been automatically generated and stored earlier if the Expressway was used to produce the CSR for this server certificate.)
  - The **server private key** PEM file must not be password protected.
  - You cannot upload a server private key if a certificate signing request is in progress.
4. Click **Upload server certificate data**.
  - When you generate a CSR in X7, the application puts **csr.pem** and **privkey\_csr.pem** into **/tandberg/persistent/certs**.
  - When you generate a CSR in X8, the application puts **csr.pem** and **privkey.pem** into **/tandberg/persistent/certs/generated\_csr**.

If you want to upgrade from X7 and have an unsubmitted CSR, then we recommend discarding the CSR before upgrade, and then regenerating the CSR after upgrade.

## Managing Certificate Revocation Lists (CRLs)

**Server certificate** You are here: [Maintenance](#) > [Security certificates](#) > Server certificate

**Server certificate data**

Server certificate	PEM File	<a href="#">Show server certificate</a>
Currently loaded certificate expires on	Aug 28 2029	

[Reset to default server certificate](#)

**Certificate signing request (CSR)**

Certificate request	PEM File	<a href="#">View</a> <a href="#">Download</a>
Generated on	May 23 2012	

[Discard CSR](#)

**Upload new certificate**

Select the server private key file System will use the private key file generated at the same time as the CSR.

Select the server certificate file  [Browse...](#) ⓘ

[Upload server certificate data](#)

## Managing the Trusted CA Certificate List

The **Trusted CA certificate** page (**Maintenance > Security > Trusted CA certificate**) allows you to manage the list of certificates for the Certificate Authorities (CAs) trusted by this Expressway. When a TLS connection to Expressway mandates certificate verification, the certificate presented to the Expressway must be signed by a trusted CA in this list and there must be a full chain of trust (intermediate CAs) to the root CA.

- To upload a new file containing one or more CA certificates, **Browse** to the required PEM file and click **Append CA certificate**. This will append any new certificates to the existing list of CA certificates. If you are replacing existing certificates for a particular issuer and subject, you have to manually delete the previous certificates.
- To replace all of the currently uploaded CA certificates with the system's original list of trusted CA certificates, click **Reset to default CA certificate**.
- To view the entire list of currently uploaded trusted CA certificates, click **Show all (decoded)** to view it in a human-readable form, or click **Show all (PEM file)** to view the file in its raw format.
- To view an individual trusted CA certificate, click on **View (decoded)** in the row for the specific CA certificate.
- To delete one or more CA certificates, tick the box(es) next to the relevant CA certificate(s) and click **Delete**.

**Trusted CA certificate** You are here: [Maintenance](#) > [Security certificates](#) > Trusted CA certificate

Type	Issuer	Subject	Expiration date	Validity	View
<input type="checkbox"/> Certificate	O=CISCO, OU=QA, CN=CUCM124.rd.rusclabs.cisco.com	Matches Issuer	Feb 20 2018	Valid	<a href="#">View (decoded)</a>
<input type="checkbox"/> Certificate	O=Cisco, OU=CIBU, CN=cup187.rd.rusclabs.cisco.com	Matches Issuer	Jul 24 2018	Valid	<a href="#">View (decoded)</a>

[Show all \(decoded\)](#) [Show all \(PEM file\)](#) [Delete](#) [Select all](#) [Unselect all](#)

**Upload**

Select the file containing trusted CA certificates  [Browse...](#) No file selected. ⓘ

[Append CA certificate](#) [Reset to default CA certificate](#)

## Managing Certificate Revocation Lists (CRLs)

Certificate revocation list (CRL) files are used by the Expressway to validate certificates presented by client browsers and external systems that communicate with the Expressway over TLS/HTTPS. A CRL identifies those certificates that have been revoked and can no longer be used to communicate with the Expressway.

## Managing Certificate Revocation Lists (CRLs)

We recommend that you upload CRL data for the CAs that sign TLS/HTTPS client and server certificates. When enabled, CRL checking is applied for every CA in the chain of trust.

## Certificate Revocation Sources

The Expressway can obtain certificate revocation information from multiple sources:

- automatic downloads of CRL data from CRL distribution points
- through OCSP (Online Certificate Status Protocol) responder URIs in the certificate to be checked (SIP TLS only)
- manual upload of CRL data
- CRL data embedded within the Expressway's **Trusted CA certificate** file

The following limitations and usage guidelines apply:

- when establishing SIP TLS connections, the CRL data sources are subject to the **Certificate revocation checking** settings on the **SIP** configuration page.
- automatically downloaded CRL files override any manually loaded CRL files (except for when verifying SIP TLS connections, when both manually uploaded or automatically downloaded CRL data may be used).
- when validating certificates presented by external policy servers, the Expressway uses manually loaded CRLs only.
- when validating TLS connections with an LDAP server for remote login account authentication, the Expressway only uses CRL data that has been embedded into the **Trusted CA certificate (Tools > Security > Trusted CA certificate)**.

For LDAP connections, Expressway does not download the CRL from Certificate Distribution Point URLs in the server or issuing CA certificates; it also does not use the manual or automatic update settings on the **CRL management** page.

## Automatic CRL Updates

We recommend that you configure the Expressway to perform automatic CRL updates. This ensures that the latest CRLs are available for certificate validation.

To configure the Expressway to use automatic CRL updates:

1. Go to **Maintenance > Security > CRL management**.
2. Set **Automatic CRL updates** to *Enabled*.



## Managing Certificate Revocation Lists (CRLs)

3. Enter the set of **HTTP(S) distribution points** from where the Expressway can obtain CRL files.

**Note:**

- you must specify each distribution point on a new line
  - only HTTP(S) distribution points are supported; if HTTPS is used, the distribution point server itself must have a valid certificate
  - PEM and DER encoded CRL files are supported
  - the distribution point may point directly to a CRL file or to ZIP and GZIP archives containing multiple CRL files
  - the file extensions in the URL or on any files unpacked from a downloaded archive do not matter as the Expressway will determine the underlying file type for itself; however, typical URLs could be in the format:
    - http://example.com/crl.pem
    - http://example.com/crl.der
    - http://example.com/ca.crl
    - https://example.com/allcrls.zip
    - https://example.com/allcrls.gz
4. Enter the **Daily update time** (in UTC). This is the approximate time of day when the Expressway will attempt to update its CRLs from the distribution points.
  5. Click **Save**.

## Manual CRL Updates

You can upload CRL files manually to the Expressway. Certificates presented by external policy servers can only be validated against manually loaded CRLs.

To upload a CRL file:

1. Go to **Maintenance > Security > CRL management**.
2. Click **Browse** and select the required file from your file system. It must be in PEM encoded format.
3. Click **Upload CRL file**.  
This uploads the selected file and replaces any previously uploaded CRL file.

Click **Remove revocation list** if you want to remove the manually uploaded file from the Expressway.

If a certificate authority's CRL expires, all certificates issued by that CA will be treated as revoked.

## Online Certificate Status Protocol (OCSP)

The Expressway can establish a connection with an OCSP responder to query the status of a particular certificate. The Expressway determines the OCSP responder to use from the responder URI listed in the certificate being verified. The OCSP responder sends a status of 'good', 'revoked' or 'unknown' for the certificate.

The benefit of OCSP is that there is no need to download an entire revocation list. OCSP is supported for SIP TLS connections only. See below for information on how to enable OCSP.

Outbound communication from the Expressway-E is required for the connection to the OCSP responder. Check the port number of the OCSP responder you are using (typically this is port 80 or 443) and ensure that outbound communication is allowed to that port from the Expressway-E.

## Configuring Revocation Checking for SIP TLS Connections

You must also configure how certificate revocation checking is managed for SIP TLS connections.

## Managing Certificate Revocation Lists (CRLs)

1. Go to **Configuration > SIP**.
2. Scroll down to the **Certificate revocation checking** section and configure the settings accordingly:

Field	Description	Usage tips
<b>Certificate revocation checking mode</b>	Controls whether revocation checking is performed for certificates exchanged during SIP TLS connection establishment.	We recommend that revocation checking is enabled.
<b>Use OCSP</b>	Controls whether the Online Certificate Status Protocol (OCSP) may be used to perform certificate revocation checking.	To use OCSP, the X.509 certificate to be checked must contain an OCSP responder URI.
<b>Use CRLs</b>	Controls whether Certificate Revocation Lists (CRLs) are used to perform certificate revocation checking.	CRLs can be used if the certificate does not support OCSP.
<b>Allow CRL downloads from CDPs</b>	Controls whether the download of CRLs from the CDP URIs contained in X.509 certificates is allowed.	
<b>Fallback behavior</b>	<p>Controls the revocation checking behavior if the revocation status cannot be established, for example if the revocation source cannot be contacted.</p> <p><i>Treat as revoked</i>: treat the certificate as revoked (and thus do not allow the TLS connection).</p> <p><i>Treat as not revoked</i>: treat the certificate as not revoked.</p> <p>Default: <i>Treat as not revoked</i></p>	<i>Treat as not revoked</i> ensures that your system continues to operate in a normal manner if the revocation source cannot be contacted, however it does potentially mean that revoked certificates will be accepted.

## Appendix 1: Troubleshooting

### SIP TLS Negotiation Failures on Neighbor and Traversal Zones

If **TLS verify mode** is enabled, the neighbor system's FQDN or IP address, as specified in the **Peer address** field of the zone's configuration, is used to verify against the certificate holder's name contained within the X.509 certificate presented by that system. (The name has to be contained in either the Subject Common Name or the Subject Alternative Name attributes of the certificate.) The certificate itself must also be valid and signed by a trusted certificate authority.

Therefore when certificates have been generated with peer or cluster FQDNs, ensure that the zone's **Peer address** fields are configured with FQDNs rather than IP addresses.

### Certificates with Key Length of 8192 Bits

SIP TLS zones may fail to become active if certificates with a key length of 8192 bits are used. We recommend using certificates with a key length of 4096 bits.

### Service Failures when Using Mobile and Remote Access

Unified Communications mobile and remote access services can fail due to certificate errors if you have uploaded a private key file that does not contain a trailing newline character.

Ensure that the private key file contains a trailing newline character.

### Issues with SSH Failures and Unsupported OIDs

If you experience unknown ssh failures such as ssh tunnels failing to establish, please verify there are no unknown OIDs in the certificate. This can be done by checking that there are no undecoded numerical entries in the CN of the Issuer & Subject fields (from the GUI: **Maintenance > Security > Server Certificate > Show(decoded)** or from the console: `'openssl x509 -text -noout -in /tandberg/persistent/certs/server.pem'`)

Invalid

```
subject=CN=blahdeblah,OU=IT
```

```
Security,O=BigBang,L=Washington,ST=District of
```

```
Columbia,C=US,1.3.6.1.4.1.6449.1.2.1.5.1 = #060C2B06010401B2310102010501
```

Valid

```
subject=CN=blahdeblah,OU=IT
```

```
Security,O=BigBang,L=Washington,ST=District of
```

```
Columbia,C=US,jurisdictionOfIncorporationLocalityName=Dover
```

For instance, currently, the only supported Extended Validation OIDs are:

- 1.3.6.1.4.1.311.60.2.1.1 jurisdictionOfIncorporationLocalityName
- 1.3.6.1.4.1.311.60.2.1.2 jurisdictionOfIncorporationStateOrProvinceName
- 1.3.6.1.4.1.311.60.2.1.3 jurisdictionOfIncorporationCountryName.

## Appendix 2: Certificate Generation Using OpenSSL Only

This section describes the process for generating a private key and certificate request for the Expressway using OpenSSL. This is a generic process that relies only on the free OpenSSL package and not on any other software. It is appropriate when certificates are required for interfacing with neighboring devices for test purposes, and for providing output to interact with Certificate Authorities.

The output for the certificate request generation process can be given to a Certificate Authority which may be internal or external to the organization, and which can be used to produce the X.509 certificates required by the Expressway to authenticate itself with neighboring devices.

This section also briefly describes how OpenSSL could be used to manage a private Certificate Authority, but does not intend to be comprehensive. Various components of these processes can be used when interfacing with third party CAs.

### OpenSSL and Mac OS X or Linux

OpenSSL is already installed on Mac OS X, and is usually installed on Linux.

### OpenSSL and Windows

If you do not have OpenSSL already installed, this is available as a free download from <http://www.openssl.org/related/binaries.html>.

Choose the relevant 32 bit or 64 bit OpenSSL - the 'Light' version is all that is needed.

If you receive a warning while installing OpenSSL that C++ files cannot be found, load the "Visual C++ Redistributables" also available on this site and then re-load the OpenSSL software.

## Creating a Certificate Request Using OpenSSL

This process creates a private key and certificate request for the server that can then be validated by a CA. This could be a CA that has been created and managed locally, or a third-party CA.

### Note:

- This method to create a CSR should only be used if you have a good knowledge of working with OpenSSL as there is a potential for entering incorrect commands (especially with numerous SAN entries). Missing relevant SAN entries would require recreating the certificate at a later date.
- From version X8.5.1 the user interface provides an option to set the Digest algorithm. The default is set to SHA-256, with options to change to SHA-1, SHA-384, or SHA-512.

To generate the CSR from the command line with OpenSSL use these instructions:

1. SSH to the Expressway and log in as root.
2. Make a new directory to do the work in - `mkdir /tmp/certtemp`
3. Move in to this directory - `cd /tmp/certtemp`
4. Copy the Open SSL configuration file we use for CSR to this directory, as we need to edit it (**Note: Keep the dot at the end**) - `cp /etc/openssl/csrreq.cnf .`
5. Open the file for editing - `vi csrreq.cnf`
6. Find the line "`default_md = sha1`" and edit it so that it reads "`default_md = sha256`"
7. Uncomment the line "`# req_extensions = v3_req`" by removing the # at the start of it
8. Make sure that the line "`extendedKeyUsage=serverAuth, clientAuth`" is present within the section [`v3_req`].

## Appendix 2: Certificate Generation Using OpenSSL Only

9. Find the line “subjectAltName = \${ENV::CSR\_ALT\_NAME}” and replace it such that it lists what you want in the Subject Alternative Names in the certificate e.g. “subjectAltName = DNS:peer1vcs.example.com,DNS:peer2vcs.example.com,DNS:ClusterFQDN.example.com”. Make sure you add all the additional relevant entries. For MRA this may comprise:
  - a. **Expressway E:** DNS:<CM domain name>, DNS:<XMPP federation domain>, DNS:<federation chat alias 1>, DNS:<federation chat alias 2>, etc.
  - b. **Expressway C:** DNS:<secure profile name 1>, DNS:<secure profile name 2>, etc.
10. Now save the file and exit.
11. Run the following OpenSSL command to generate a new CSR and Private key for the VCS “openssl req -nodes -newkey rsa:4096 -keyout privatekey.pem -out myrequest.csr -config csrreq.cnf” changing the rsa:nnnn if required. (nnnn = keylength, recommended number is 4096).
12. The console displays output similar to the following example, where you are required to enter information. You do not need to populate all of them, but some fields are required:
  - Country
  - State and province
  - Locality name
  - Organization name
  - Common name
  - Email address - optional, can leave blank
  - A challenge password - optional, can leave blank
  - An optional company name - optional, can leave blank

Generating a 4096 bit RSA private key

.....++

.....++

writing new private key to 'privatekey.pem'

-----

You are about to be asked to enter information that will be incorporated into your certificate request.

What you are about to enter is what is called a Distinguished Name or a DN.

There are quite a few fields but you can leave some blank

For some fields there will be a default value,

If you enter '.', the field will be left blank.

-----

Country Name (2 letter code) [AU]:GB

State or Province Name (full name) [Some-State]:Berkshire

Locality Name (eg, city) []:Reading

Organization Name (eg, company) [Internet Widgits Pty Ltd]:Cisco

Organizational Unit Name (eg, section) []:CIBU

Common Name (eg, YOUR name) []:exp01.example.com

Email Address []:

When you have completed the fields, you will have two new files, **myrequest.csr** and **privatekey.pem**.

13. (Optional) If you want to validate the DNS entries have been entered correctly into the request, the **myrequest.csr** file can be decoded using the command: `openssl req -text -noout -in myrequest.csr`
14. Submit the CSR to your chosen Certificate Authority, who will provide the public certificate.

## Appendix 2: Certificate Generation Using OpenSSL Only

15. Upload the public certificate to the VCS via the **Maintenance > Security > Server certificate** webpage, “**Select the server certificate file**” entry box.
16. Upload the **privatekey.pem** to the VCS via the **Maintenance > Security > Server certificate** webpage, “**Select the server private key file**” entry box.

The **privatekey.pem** should be kept safe.

## Operating as a Certificate Authority Using OpenSSL

A major deployment is likely to make use of a third-party certificate authority, or already have one internal to an organization’s IT department. However, you can use OpenSSL to manage certificates in a private certificate authority as outlined below.

If you have already configured OpenSSL to act as a CA, go to section [Creating a Signed Certificate Using OpenSSL, page 23](#).

## Configuring OpenSSL to Act as a CA

OpenSSL is powerful software, and when operating as a CA, requires a number of directories and databases to be configured for tracking issued certificates.

The list of directories and files can be found in the openssl configuration file under the section [ **CA\_default** ]. By default, the files/directories required to be created are:

- A **demoCA** directory in the current directory, with 3 subdirectories **certs**, **newcerts** and **private**.
- An empty file called **index.txt** in the **demoCA** directory.
- A file called **serial** in the **demoCA** directory, storing a 2-digit number, such as “10”.

For example, use the commands:

```
mkdir demoCA
cd demoCA
mkdir certs
mkdir newcerts
mkdir private
touch index.txt
echo 10 > serial
```

## Creating a Certificate Authority Using OpenSSL

This process creates a private key and certificate of a Certificate Authority (CA), which can then be used to validate other certificates. Note that this will not be trusted by devices outside of those on which it is explicitly installed.

From a command prompt:

1. Ensure that you are in the **demoCA** directory.
2. For Windows: copy **openssl.cfg** from the directory where OpenSSL is installed to the **demoCA** directory and rename it as **openssl\_local.cfg**.

For Mac OS X: copy **/System/Library/OpenSSL/openssl.cnf** to the **demoCA** directory and rename it as **openssl\_local.cfg**.

## Appendix 2: Certificate Generation Using OpenSSL Only

3. Use a text editor to edit the **openssl\_local.cfg** file that was created by the above copy command. Make the following modifications to the `[CA_default]` section:
  - a. Ensure that the line `copy_extensions = copy` does not have a # at the beginning of the line. Delete the # if it is there. If the line remains commented out, it will strip attributes in the CSR and the SSL Server and SSL Client attributes will not appear in the certificate.
  - b. Change `policy = policy_match` to `policy = policy_anything`
  - c. Change `dir = ./demoCA` to `dir = .`
  - d. Optionally, change `default_days = 365` (1 year validity of the generated certificate) to `default_days = 3650` (10 years, or choose another suitable value).
  - e. Save the file.
4. Generate a private key for the CA by running the following command:
 

```
openssl genrsa -aes256 -out private/cakey.pem 4096
```

This will prompt for a password with which to encrypt the private key: choose a strong password and record it in a safe place. The `cakey.pem` file will be used to create the CA certificate and to sign other certificates and must also be kept secure.
5. Generate the CA certificate by running the following command.
 

For Windows: `openssl req -new -x509 -days 3650 -key private/cakey.pem -config openssl_local.cfg -sha1 -extensions v3_ca -out cacert.pem`

For OS X: `openssl req -new -x509 -days 3650 -key private/cakey.pem -config openssl_local.cfg -sha1 -extensions v3_ca -out cacert.pem`
6. Enter a passphrase for the key, and then enter the data requested, including:
  - Country
  - State or province
  - Locality name
  - Organization name
  - Organizational unit
  - Common name - this is typically the name of a contact person for this CA
  - Email address - optional, can leave blank

After entering the requested data, the operation completes and the certificate authority certificate **cacert.pem** is now available.

## Creating a Signed Certificate Using OpenSSL

This process signs the server certificate with the generated CA key, using the previously generated certificate request.

From a command prompt:

1. Ensure that you are in the **demoCA** directory.
2. Ensure that the certificate request file (**certcsr.pem**) is available:
  - If the certificate request was created using the Expressway (recommended process):  
Copy the file downloaded from the Expressway into the **demoCA** directory and rename it as **certcsr.pem**.
  - If the certificate request was created using OpenSSL:  
Copy the previously generated certificate request into the **demoCA** directory and then convert it to PEM format by running the following command:  

```
openssl req -in certcsr.der -inform DER -out certcsr.pem -outform PEM
```

## Appendix 2: Certificate Generation Using OpenSSL Only

3. Generate a signed server certificate by running the following command:

```
openssl ca -config openssl_local.cfg -cert cacert.pem -keyfile private/cakey.pem -in certcsr.pem -out certs/server.pem -md sha1
```

If you receive a "failed to update database TXT\_DB error number 2" error message, you can remove the contents of the **index.txt** file and then rerun the command.

4. You will be prompted to enter the password for the CA's private key.

The signed certificate for the server is now available as **demoCA/certs/server.pem**.

## Creating Self-Signed Certificates Using OpenSSL

We do not recommend creating self-signed certificates. They will not work in Unified Communications deployments.

Instead you should create a Certificate Authority using OpenSSL as described above.



## Appendix 3: Converting a DER Certificate File to PEM Format

A private key, root (CA) certificate and the server / client certificate can be generated using third-party tools (or purchased from a certificate authority), and may be generated as PEM (required format, extension .pem) or DER (extension .cer) format files.

Certificates must be in PEM format for use on the Expressway. Conversion from DER to PEM format can be done in one of two ways, either using OpenSSL or Windows, as documented in the following sections.

### Converting a DER certificate file to a PEM file using OpenSSL

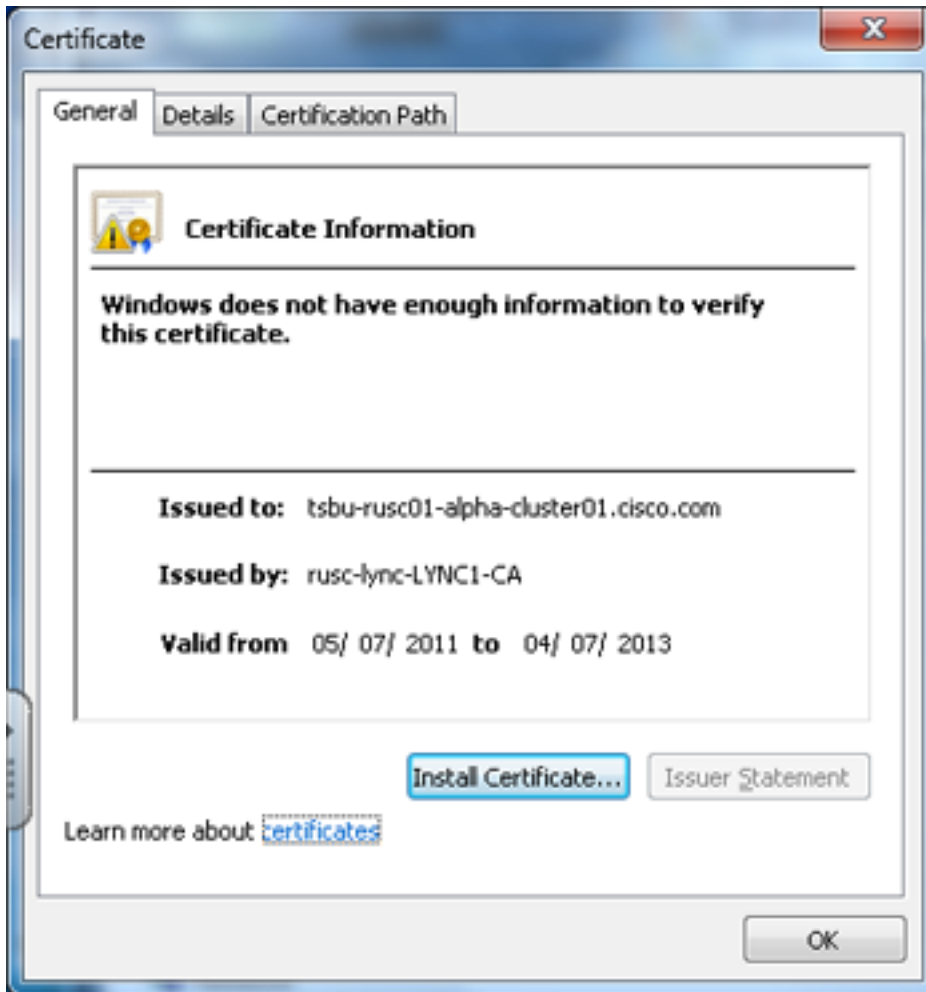
To convert from DER to PEM format, on a system running openssl, execute the command:

```
openssl x509 -in <filename>.cer -inform DER -out <filename>.pem -outform PEM
```

### Converting a DER certificate file to a PEM file using Microsoft Windows

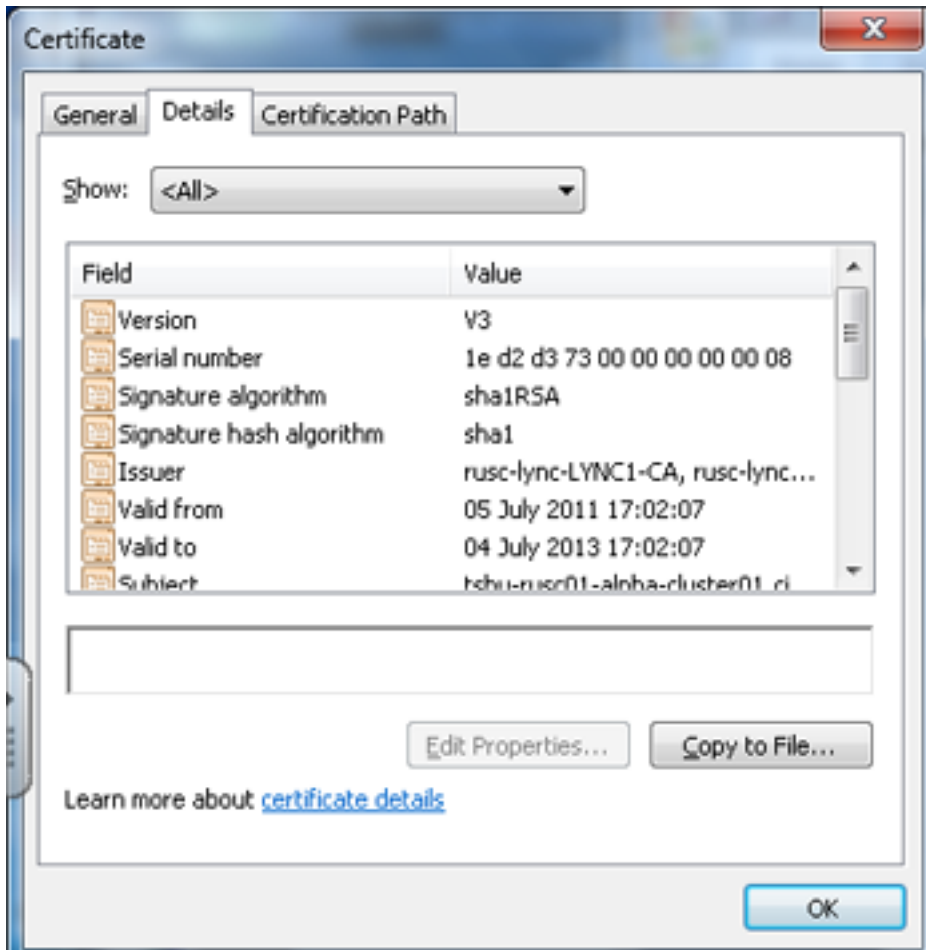
To convert from DER to PEM format using Microsoft Windows:

1. Double click on the DER file to convert (this will likely have a '.cer' extension).



## Appendix 3: Converting a DER Certificate File to PEM Format

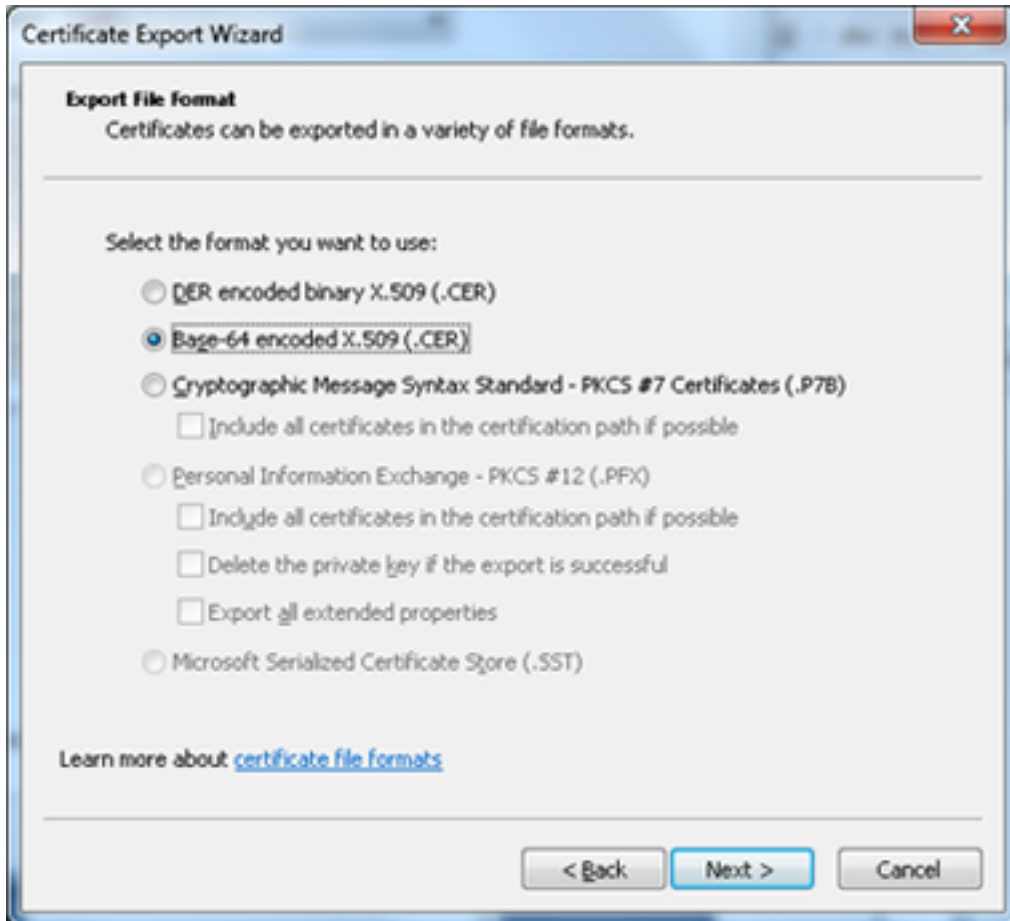
2. Select the **Details** tab.



3. Click **Copy to File...**
4. On the **Welcome** page, click **Next**.

Appendix 3: Converting a DER Certificate File to PEM Format

5. Select *Base-64 encoded X.509 (.CER)* and click **Next**.



6. Click **Browse** and select required destination for file (e.g. **server.pem**) and then click **Next**.
7. Click **Finish**.
8. Change the filename from **server.pem.cer** to **server.pem**.
9. This will be used in the [Loading Certificates and Keys Onto Expressway, page 13](#) section of this document.

## Appendix 4: Decoding Certificates

This section describes some methods for decoding and viewing the content of certificates.

### OpenSSL

A PEM file (e.g. **cert.pem**) can be decoded by the following command:

```
openssl x509 -text -in cert.pem
```

A DER file (e.g. **cert.cer**) can be decoded by the following command:

```
openssl x509 -text -inform DER -in cert.cer
```

### Firefox

The certificate in use for a website being visited can be viewed in Firefox by clicking on the security information button on the address bar, and then clicking **More Information** followed by **View Certificate**.

### Internet Explorer

The certificate in use for a website being visited can be viewed in Internet Explorer by clicking the lock icon to the right of the address bar. A **Website Identification** dialog will appear. Click the **View Certificates** link at the bottom.

## Appendix 5: Enable AD CS to Issue "Client and Server" Certificates

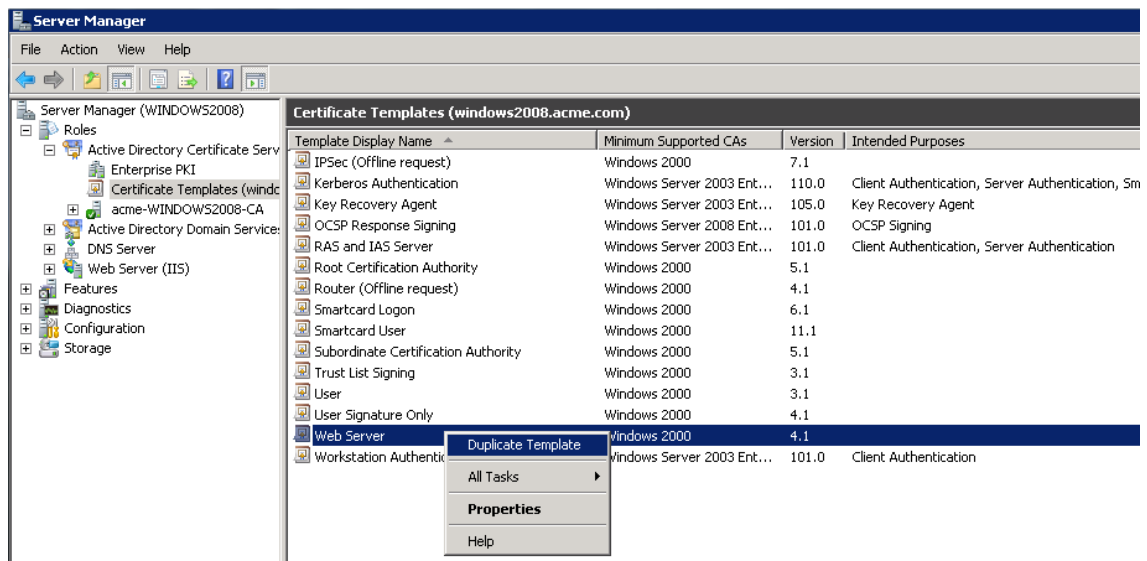
**Note:** The CA component of Microsoft Active Directory Certificate Services (AD CS) must be able to issue a certificate that can be used for authentication of the Expressway as client or server.

AD CS in Windows Server 2008 Standard R2 (and later) can issue these types of certificates, if you create a certificate template for them. **Earlier versions of Windows Server Standard Edition are not suitable.**

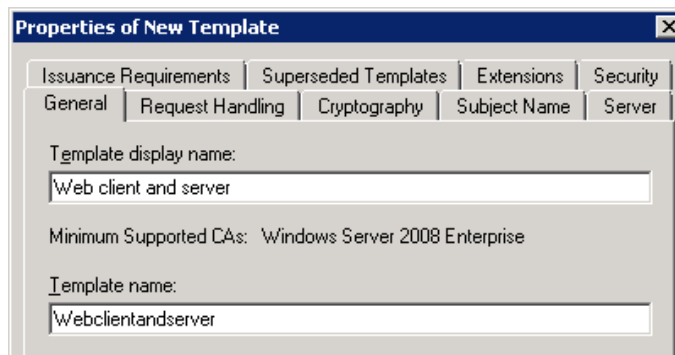
The default "Web Server" certificate template in AD CS creates a certificate for Server Authentication. The server certificate for the Expressway also needs Client Authentication if you want to configure a neighbor or traversal zone with mutual authentication (where **TLS verify mode** is enabled).

To set up a certificate template with both Server and Client authentication:

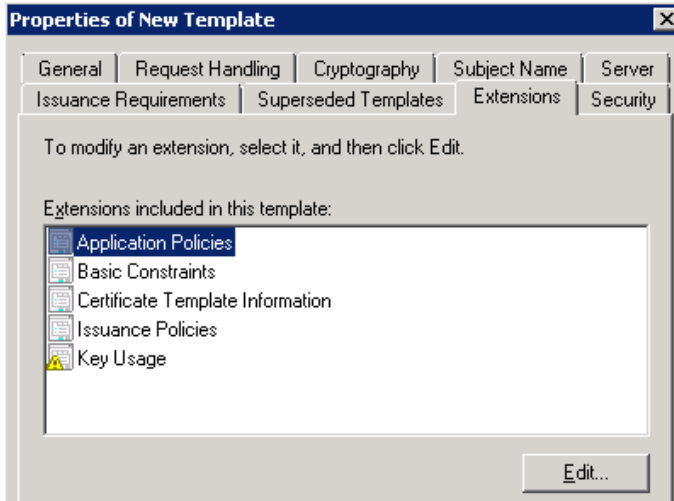
1. In Windows, launch **Server Manager (Start > Administrative Tools > Server Manager)**.  
(Server Manager is a feature included with server editions of Windows.)
2. Expand the **Server Manager** navigation tree to **Roles > Active Directory Certificate Services > Certificate Templates (<domain>)**.
3. Right-click on **Web Server** and select **Duplicate Template**.



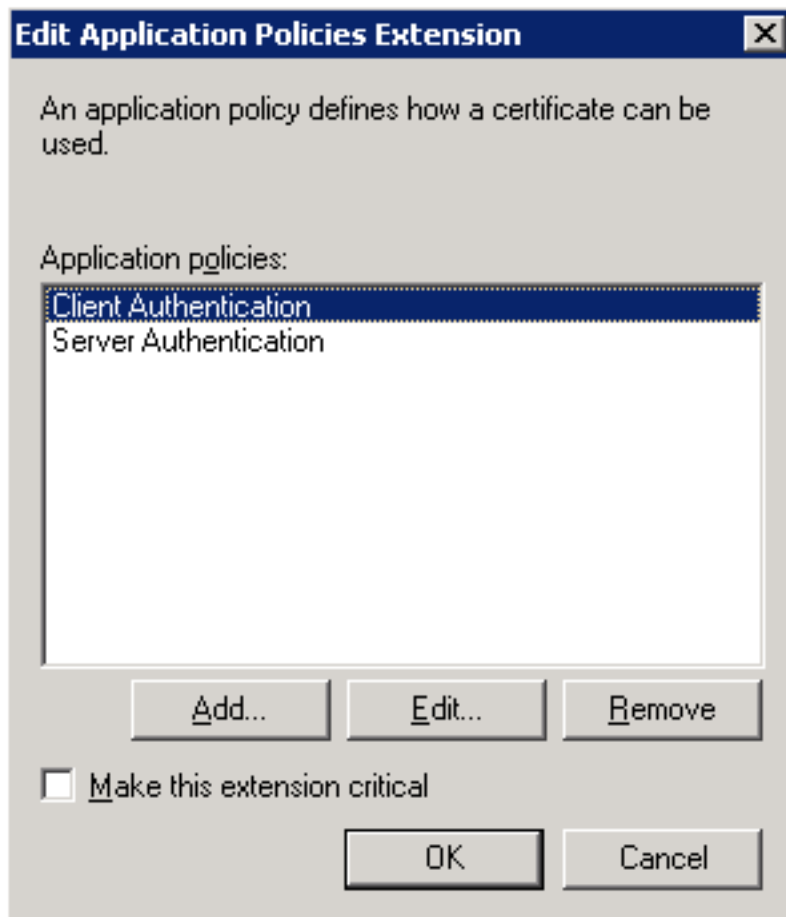
4. Select **Windows Server 2003 Enterprise** and click **OK**.
5. On the **General** tab, enter the **Template display name** and **Template name**, for example **Web client and server** and **Webclientandserver**.



6. On the **Extensions** tab, select **Application Policies** and click **Edit**.

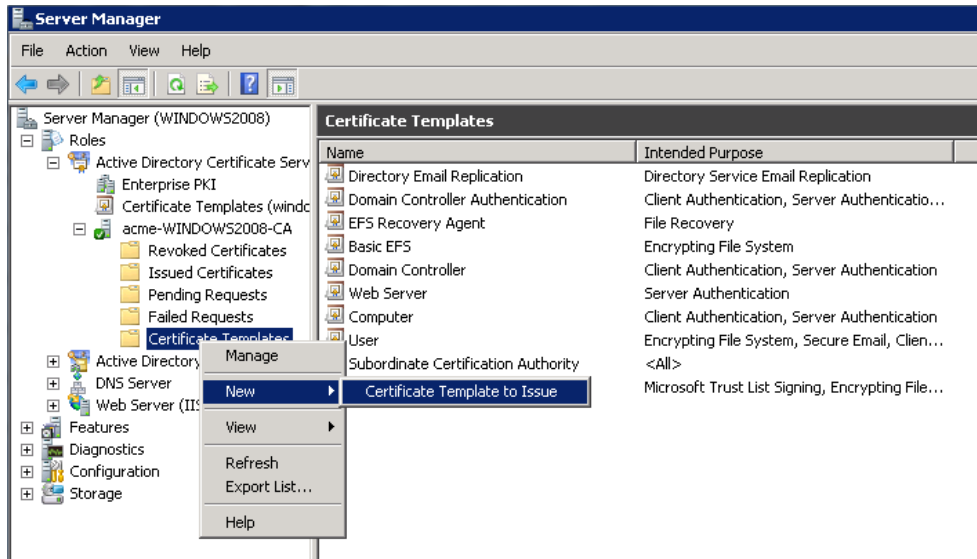


7. Add **Client Authentication** to the set of application policies:
  - a. Click **Add**.
  - b. Select **Client Authentication** and click **OK**.
  - c. Click **OK**.

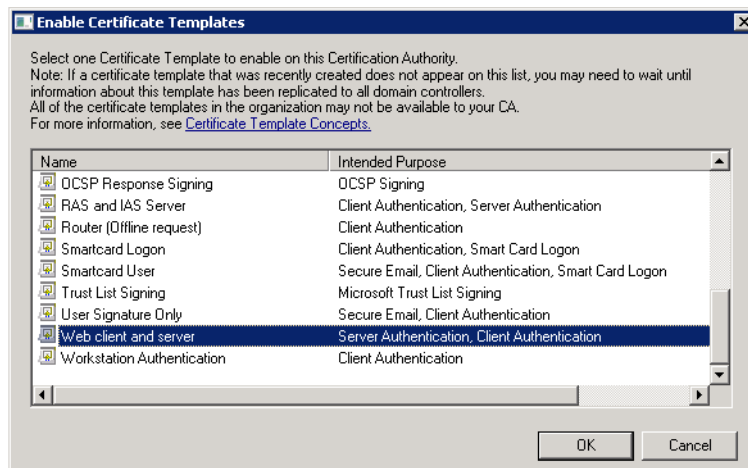


## Appendix 5: Enable AD CS to Issue "Client and Server" Certificates

8. Click **OK** to complete the addition of the new template.
9. Add the new template to the Certificate Authority:
  - a. Go to **Roles > Active Directory Certificate Services > <your certificate authority>**.
  - b. Right-click on **Certificate Templates** and select **New > Certificate Template to Issue**.



- c. Select your new **Web client and server** template and click **OK**.



The new **Web client and server** template can now be used when submitting a certificate request to that Microsoft Certification Authority.

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